



DIVISION OF LICENSING
 450 Columbus Boulevard, Suite 302, Hartford, Connecticut 06103
 Phone (800)282-6063 or (860)500-4450 Fax (860)326-0552
 Email: oe.licensing@ct.gov Website: www.ctoec.org

CHILD CARE CENTER/GROUP CHILD CARE HOME INSPECTION

Program Name	UPON THIS ROCK ACADEMY				License Number	DCCC.70596	Date of Inspection	12/08/2023
					Expiration Date	1/31/2025	Time of Inspection	11:53 AM
Address	882 GRAND AVE				Telephone	(203) 387-1215	Licensed Capacity	32
	NEW HAVEN CT 06511-4922				Hours of Operation	M-F 6:30am-5:00pm Vacations & Summer 6:30am-4:30pm 1/2 days 12:15pm-4:30pm	Infant/Toddler Capacity	0
Is this a Change of Address?	Yes?		No?	X			Summer Care	Open
New Address					Minimum Age Served	3 years	Maximum Age Served	13 years
					Program's Email	utrmacademy@gmail.com		
Operator	UPON THIS ROCK ACADEMY LLC				Name of Inspector	Bridget Merrill		
Director	ROBIN BROWN				Inspector's Email	bridget.merrill@ct.gov		
Key:	Compliant = X	# of Infants - Toddlers Present	0	# of Total Children Present	11	# of Staff Present	3	Type of Inspection
Non-Compliant = O								UNANNOUNCED INSPECTION - FULL

LICENSURE PROCEDURES 19a-79-2a

X	1. Local Health Inspection	
	Date: 05/24/2022	
X	1a. False or Misleading Statements	

ADMINISTRATION 19a-79-3a

X	1b. Administration	
X	1bb. Capacity	
X	2. New Staff – Employee Orientation	
X	3. Annual Staff Policy Training	
X	3b. Managing child behavior	
O	4. Documentation of Behavior M. Tech Discussed w/parents	Failed to maintain documentation that behavior management techniques were discussed with parents for 1 child.
X	4b. Failure to report	

X	5. Notification of Change	
X	6. Program policies	Including discipline, supervision, child protection, general operating, personnel, closing time
X	7. Daily Attendance Records- staff and children	
ITEMS POSTED – ACCESSIBLE		
X	8. License	
X	9. Fire Marshal certificate	
	Date	03/10/2022
X	10. OEC Complaint procedure	
	11. Food Service Certificate	N/A?
	Date	X
X	12. Menus	
X	13. Emergency plans	
X	14. No Smoking Signs	
X	15. Radon Test	N/A?
	Date	Results
	01/15/20	2.8
X	15a. Developmental Milestones	
X	15b. Access	
X	15bb. Endorsements	
STAFFING 19a-79-4a		
X	15c. Staffing	
○	16. Staff Health records – TB tests	Failed to maintain complete medical statement(s) for 1 staff when OEC staff observed a physical that was more than 2 years old.
○	17. Professional development	Failed to document new employee orientation for 4 staff. Failed to document annual policy and procedure training for 4 staff.
X	18. Disciplinary actions	
X	18b. Background checks	

X	19. Designated Head Teacher							
X	20. Two Staff present							
X	20a. Staff Qualities							
X	21. Ratio: 1 staff to 10 children							
X	21b. Supervision							
X	22. Group Size – maximum 20 children							
X	23. Designated director - Training							
X	24. CPR Certified Staff (Group Home N/A)							
X	25. First Aid Trained Staff							
O	26. Consultants- Agreements and Contracts	Failed to maintain current consultant agreements when the Education & Dental agreements were observed to be more than 1 year old.						
O	27. Logs – Visits documented	Failed to document annual review of policies, plans, procedures and education programs when Health, Education & Dental logs were observed to be more than 1 year old or were not available						
	Not in Compliance?	Education	Health	Social Service	Dental	Dietician	N/A?	X
	Contracts	O			O			
	Logs	O	O		O			
	Do they take children swimming?N SWIMMING							
X	28. Non-swimmers identified							
X	29. Staff/Child Ratios							
X	30. CPR certified staff (20 years of age)							
X	31. Lifeguard certified - supervision							
RECORD KEEPING 19a-79-5a								
O	32. Enrollment information	Failed to maintain enrollment information for 1 child. Failed to maintain complete enrollment information for each child when OEC staff observed no enrollment dates for 3 children.						
O	33. Emergency medical permission	Failed to maintain emergency medical permission forms for 1 child.						
O	34. Authorized release permission	Failed to maintain authorized release permission forms for 1 child.						
X	35. Field trip permission							
X	36. Transportation permission							

<input type="radio"/>	37. Child health records and immunizations	, Failed to maintain current health records for children for 1 child. Failed to maintain complete immunization records for children for 3 children.	
<input type="radio"/>	38. Individual care plan (signed by parents and staff)	Failed to maintain complete individual care plans for children when 5 individual care plans were observed to be missing parent & staff signatures.	
<input checked="" type="checkbox"/>	39. Injury, Illness, Accident reports		
HEALTH AND SAFETY 19a-79-6a			
<input checked="" type="checkbox"/>	40. Nutritious snacks and meals (required food groups)		
<input checked="" type="checkbox"/>	41. Proper refrigeration (max 45°)		
<input checked="" type="checkbox"/>	42. Kitchen separated	N/A?	
<input checked="" type="checkbox"/>	43. Hand washing – before eating or food handling		
<input type="radio"/>	44. First Aid Kit(s) – Indoor, Outdoor, Field Trips, Inventory	Failed to maintain complete first aid kit(s) when OEC staff observed the outdoor kit to be missing 1 instant cold pack & a manual less than 5 years in print & the indoor kit was missing 2 instant cold packs, 1 roller gauze & a manual less than 5 years in print.	
PHYSICAL PLANT 19a-79-7a			
<input checked="" type="checkbox"/>	45. License premises – clean, good repair, hazard free		
<input checked="" type="checkbox"/>	47b. Plans for new construction, expansion, renovation or conversion		
<input checked="" type="checkbox"/>	48. Sanitary drinking fountains – disposable cups		
<input type="radio"/>	49. Lead Water Test (N/A?) 01/06/2021	Bacterial/Chemical Test (N/A?) <input checked="" type="checkbox"/>	Failed to conduct a lead water test every 2 years when OEC staff observed a lead water test more than 2 years old.
<input checked="" type="checkbox"/>	50. Walkways maintained		
<input checked="" type="checkbox"/>	51. Designated staff toilet/sink		
<input checked="" type="checkbox"/>	52. All openings for ventilation screened		
<input checked="" type="checkbox"/>	53. Windows protected to prevent falls		
<input checked="" type="checkbox"/>	54. Glass protected up to 36"		
<input checked="" type="checkbox"/>	55. Overhead doors – locking devices, spring protectors		
<input checked="" type="checkbox"/>	56. Exits, Hallways and Stairs unobstructed		

X	57. Individual storage of clothing and bedding	
X	58. Smoking prohibited	
X	59. Matches and lighters inaccessible	
X	60. Electrical safety – outlets/cords	
X	61. Toileting needs met	
X	62. Required toilets, sinks, supplies	
X	63. Potty chairs – nonporous, emptied, disinfected	
X	64. Hand washing after toileting – staff and children	
X	65. Ventilation in toilet rooms	
X	66. Air temperature 65 degrees, thermometer affixed	
X	67. Water temperature 60° – 115°	
X	68. Portable space heaters	
O	69. Walls, ceilings, floors and rugs – clean, good repair	Failed to ensure walls, ceilings, floors and rugs are washable or easily cleanable when child bathroom vents were observed to be dusty.
X	70. Rugs secure	
X	71. Hot water, steam pipes protected	
X	72. Working phone on each level	
X	73. Emergency numbers posted	
X	74. Adequate lighting - 50/30 candle feet	
X	75. Light fixtures shielded, shatter proof	
X	76. Potentially hazardous substances locked	
X	77. Garbage, rubbish disposed daily	

X	78. Stairs protected, good repair, handrails	
X	79. Pets – maintained, care plan	Y/N N
X	80. Operable CO detector on each level	N/A? Y
X	81. Program space-adequate square footage per child	
X	82. Equipment clean, good repair, safe, non-toxic	
X	83. Cots stored, maintained, adequate number	
X	84. Developmentally appropriate equipment	
X	85. Hot tubs, spas, saunas – locked and inaccessible	Y/N N
X	86. No weapons, no facsimile of a firearm on premises	
OUTDOOR SPACE		
X	87. Outdoor space - adequate square footage per child	
X	88. Impact absorbing material under equipment	
X	89. Playground free from hazards	
X	92. Equipment anchored, safely arranged	
X	93. Outdoor play area protected, fenced	
X	94. Drinking water available, accessible	
EDUCATIONAL REQUIREMENTS 19a-79-8a		
X	95. Written plan for daily program available to parents/staff	
X	96. Schedule – Activity choices and Program	Activity choices: developmentally appropriate, flexible, meets individual needs Program includes: indoor/outdoor, gross/fine motor skills, snacks/meals, rest/sleep/quiet time, toileting and clean up
ADMINISTRATION OF MEDICATIONS 19a-79-9a		
X	97. Written policies, procedures	
X	98. Training outline on file	

NONPRESCRIPTION TOPICAL MEDICATIONS						
X	99. Administration, parent permission, MAR					
X	100. Labeling, storage					
ORAL/TOPICAL/INHALENT MEDICATIONS						
X	101. Med trained staff, certificates					
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%; font-size: small;">O/T/I</td> <td style="font-size: small;">Injectable</td> </tr> <tr> <td style="text-align: center; font-size: small;">Y</td> <td style="text-align: center; font-size: small;">Y</td> </tr> </table>	O/T/I	Injectable	Y	Y	
O/T/I	Injectable					
Y	Y					
O	102. Authorized prescriber, parent permission, MAR	.Failed to maintain current written orders when authorizations for Albuterol, Benadryl & Epi-Pens were missing complete information in the parent section of the form. Failed to maintain written order from prescriber for medication for Benadryl & Epi-Pen for 1 child. Observed 1 child's Albuterol authorization on public school form.				
X	103. Labeling, storage					
O	104. Unused, expired meds returned/disposed	. Failed to ensure that unused portions of medication is returned to the parent when OEC observed Albuterol & Epi-Pen on site for a child no longer enrolled in the program.. Failed to ensure that expired medication is destroyed or returned to the parent when expired Epi-Pens were observed by OEC staff.				
SELF-ADMINISTRATION						
X	105. Authorized prescriber, parent permission, MAR					
X	106. Labeling, storage					
X	107. Approved petition for special medication authorization					
No	Is there an approved endorsement?	INFANT/TODDLER ENDORSEMENT 19a-79-10				
	109. Approved endorsement					
	110. Ratio: 1 staff to 4 children					
	111. Group size: no larger than 8					
	112. Physical barriers, groups of 8 (indoors and outdoors)					
	113. Adequate sinks in program space					
	114. Free standing, well-constructed, safe cribs					
	115. Washable cots					
	116. Chairs for feeding, stable, safety straps, locking tray					
	117. Developmentally appropriate tables, chairs, equipment					
	118. Refrigerators and food prop facilities					

119. Diaper area- sturdy, safety rail, nonporous, exclusive use			
120. Diaper area- washed, disinfected			
121. Diaper area- disposable paper sheets			
122. Covered waste receptacle			
123. Diaper changing policy posted, followed			
124. Hand washing policy posted, followed			
125. Individual storage of personal items			
126. Cribs/cots washed and disinfected			
127. Under 12 months- placed on back for sleeping			
128. Alternate sleep position- equipment, medical documentation	Yes	No	
129. Crib, bed used for infant sleeping			
130. Crib, bed free from observable hazards			
131. Infant toys separate, washed, disinfected daily			
132. No toys, objects less than 1/1/4" diameter			
133. Plastic bags, balloons, Styrofoam objects inaccessible			
134. Health consultant, doc. of visits			
135. Infants held for bottles, indiv. attention, tummy time			
136. Written statement, feeding schedule from parent			
137. Unused portions of liquids discarded			
138. Clean Bottles, disp. bottles, approved bottle washing			
139. Food served from dish or whole jar served			
140. Bottles individually identified with child's name			

OUTDOOR PLAY SPACE - UNDER THREE

	141. Play space fenced	
	142. Outdoor equipment developmentally appropriate	
Yes	Is there an approved endorsement?	SCHOOL AGE ENDORSEMENT 19a-79-11
X	143. Approved endorsement	
X	144. Activity choices appropriate	
X	145. Ratio – 1 staff to 10 children	
X	146. Group size – maximum 20 children	
X	147. Education Consultant appropriate	
No	Is there an approved endorsement?	NIGHT CARE ENDORSEMENT 19a-79-12 (10pm-5am)
	148. Approved endorsement	
	149. Written program plan, supervision	
	150. Staff awake and available	
	151. Cot, crib, bedding, toiletries, sleep apparel	
	152. Individual storage of personal items	
	153. Bedding, sleeping apparel laundered weekly	
N	Child with diabetes enrolled?	MONITORING OF DIABETES 19a-79-13
X	154. Written policies and procedures	
X	155. On site staff trained in first aid, glucose testing	
X	156. Training current and documented	
X	157. Supervision of self-administration	
X	158. Equipment, supplies labeled and inaccessible	

X	159. Signed agreement with parents regarding equipment	
X	160. Materials discarded appropriately	
X	161. Authorized prescriber, parent permission	
X	162. Documentation of test results, actions taken	
X	163. Daily written parent notification	

ADDITIONAL VIOLATIONS




	62. Consent Order - Negotiated Corrective Action Plan	N/A?	
		X	

<u>YES or NO?</u> Yes	WERE VIOLATIONS CITED DURING THIS VISIT?
--------------------------	---

DISCUSSIONS:

COMMENTS:

NOTE: Items left blank on this form were not monitored during this visit.
Only the regulations marked as compliant, non-compliant or not applicable were monitored or discussed.
APPLICANTS: You MAY NOT OPERATE until all requirements have been met and a license has been issued by the Agency.

 (Signature of OEC Representative)	 (Signature of OEC Representative)	DATE CORRECTIONS DUE BY:	 (Signature of Person in Charge)
Bridget Merrill (Printed Name)	 (Printed Name)	12/22/2023	Robin brown (Printed Name)