



DIVISION OF LICENSING
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CHILD CARE CENTER/GROUP CHILD CARE HOME SUPPLEMENTAL INSPECTION

Program Name	UPON THIS ROCK ACADEMY				License Number	DCCC.70596	Date of Inspection	03/13/2024
					Expiration Date	1/31/2025	Time of Inspection	09:54 AM
Address	882 GRAND AVE NEW HAVEN CT 06511-4922				Telephone	(203) 387-1215	Total Capacity	32
					Days and Hours	M-F 6:30am-5:00pm Vacations & Summer 6:30am-4:30pm 1/2 days 12:15pm-4:30pm	Under Three Capacity	0
#Children Present	4	# Under 3 Present	0	# Staff Present	2	Summer Care	Open	
Purpose of Inspection	Follow up to 12-8-23 full inspection				Name of Inspector	Bridget Merrill		
Program's Email	utrmacademy@gmail.com				Inspector's Email	bridget.merrill@ct.gov		

Violations

Statute and/or Regulation	Description	Comments
[19a-79-4a(a)(3) and/or 19a-79-4a(g)]	017-Professional Development	Failed to document new employee orientation for 4 staff.
[19a-79-4a(c)(2)]	020-Two Staff Present	Failed to maintain two staff on the premises when 2 children with one staff were observed for 20 minutes until a second staff arrived.
[19a-79-5a(a)(2)(E)]	038-Individual Care Plan (Signed by Parent/Staff)	Failed to maintain complete individual care plans when plans for Epi-Pens, Benadryl and Albuterol were missing parent and staff signatures and 1 child had no individual care plan for Epi-Pen.
[19a-79-9a(b)(3) and/or 19a-79-9a(b)(4)]	102-Oral/Topical/Inhalant/Injectable Medications: Authorized Prescriber/Parent Permission/MAR	Failed to maintain complete written orders for Epi-Pen, Benadryl & Albuterol authorizations when parent sections of the forms were not filled out. Observed no Albuterol, Epi-Pen or Benadryl authorizations for 1 child. Continued in discussion section
[19a-79-9a(b)(5)(D) and/or 19a-79-9a(b)(5)(E)]	104-Oral/Topical/Inhalant/Injectable Medications: Unused/Expired Meds Returned/Disposed	Failed to ensure that expired medication is destroyed or returned to the parent when Albuterol on site for child enrolled had expired in November 2023.

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Other Findings - In Compliance

Statute and/or Regulation	Description	Comments
[19a-79-3a(b)(8)(B)]	004-Documentation of Behavioral Management Techniques Discussed with Parents	
[19a-79-4a(a)(1) and/or 19a-79-4a(a)(2)]	016-Staff Health Records	
[19a-79-4a(h)(2)(G)]	027-Logs/Visits Documented	
[19a-79-5a(a)(1)(A) through (C)]	032-Enrollment Information	

<p>[19a-79-5a(a)(1)(D)(i)]</p>	<p>033-Emergency Medical Permission</p>	
<p>[19a-79-5a(a)(1)(D)(ii)]</p>	<p>034-Authorized Released Permission</p>	
<p>[19a-79-5a(a)(2)(A) through (E) and/or 19a-79-6a(e)]</p>	<p>037-Child Health Records/Immunizations/TB</p>	
<p>[19a-6a(c) and/or 19a-6a(d)]</p>	<p>044-First Aid Kit(s):Indoor/Outdoor/Field Trip</p>	

YES/NO: Yes **WERE VIOLATIONS CITED DURING THIS VISIT?**



Discussions:

#102 Observed one child Albuterol authorization on public school form. Confirmed with Education consultant that policies & procedures were review in conjunction with signing the consultant contract in February 2024. Program to receive documentation of review via email today.

Comments:

NOTE: Items left blank on this form were not monitored during this visit. Only the regulations marked as compliant or non-compliant were monitored or discussed.

APPLICANTS: You MAY NOT OPERATE until all requirements have been met and a license has been issued by the Agency.

 (Signature of OEC Representative)	(Signature of OEC Representative)	DATE CORRECTIONS DUE BY:	 (Signature of Person in Charge)
<p>Bridget Merrill (Printed Name)</p>	(Printed Name)	<p>03/27/2024</p>	<p>Robin burke (Printed Name)</p>