Application - ADULT-USE CANNABIS CULTIVATOR

Name	Lets Grow Hartford LLC
Credential	ADULT-USE CANNABIS CULTIVATOR

Adult-Use Cannabis - Start Instructions

Welcome to the Department of Consumer Protection online application system.

During this application you must:

- Complete all questions within the application.
- If a file needs to be uploaded and it does not apply to you; upload a note stating that this document does not apply AND the reason(s) why it does not apply.
- You may upload multiple documents wherever documents are required.
- Use the buttons on the the bottom of the page to navigate:
    - Previous allows you to return to the prior page and correct any answer.
    - Next allows you to proceed to the next section unless a required answer/document has not been provided/uploaded.
    - Close and Save allows you to close and save your application and then return later to complete the application. This does not submit the application. To reopen the application, log into elicense, select "Initial Application", and then select "Continue" to the left of your in progress application.
    - Finish allows you to submit an application that does not require a payment.
    - Add to Invoice allows you to submit an application that requires a payment. The submission will not be complete until the payment is made.
- Acceptable forms of payment include: Visa, MasterCard, Discover, American Express and eCheck (ACH).

You will be notified via email once your application has successfully completed in DCP's online application system.

If you are ready to proceed with your application, click NEXT at the bottom.

Adult-Use Cannabis - Important Definitions

Please review the following definitions before going forward:

- Backer means any individual that has a direct or indirect financial interest in a Cannabis Establishment, and
    1. Owns 5% or more together with their spouse, parents, or child; or
    2. Participates directly or indirectly in the control, management, or operation of the Cannabis Establishment.

- Cannabis Establishment means a producer, dispensary facility, cultivator, micro-cultivator, retailer, hybrid retailer, food and beverage manufacturer, product manufacturer, product packager, delivery service or transporter.

- Disproportionately Impacted Area means a United States census tract in the state that has, as determined by the Social Equity Council, (A) a historical conviction rate for drug-related offenses greater than one-tenth, or (B) an unemployment rate greater than ten per cent. More details may be found **here**.

- Equity Joint Venture means a business entity that is at least fifty per cent owned and controlled by an individual or individuals, who:
    1. Had an average household income of less than three hundred per cent of the state median household income over the three tax years immediately preceding such individual's application; and
    2. One of the following:
        - Was a resident of a disproportionately impacted area for not less than five of the ten years immediately preceding the date of such application; or
        - Was a resident of a disproportionately impacted area for not less than nine years prior to attaining the age of eighteen.

  Note: Applications for an Adult-Use Cultivator license may not apply as an Equity Joint Venture.
- Key Employee means an employee with the following management position or an equivalent title within a cannabis establishment:

1. President or chief officer, who is the top-ranking individual at the cannabis establishment and is responsible for all staff and overall direction of business operations;
2. Financial manager, who is the individual who reports to the president or chief officer and who is generally responsible for oversight of the financial operations of the cannabis establishment, including, but not limited to, revenue generation, distributions, tax compliance and budget implementation; or
3. Compliance manager, who is the individual who reports to the president or chief officer and who is generally responsible for ensuring the cannabis establishment complies with all laws, regulations and requirements related to the operation of the cannabis establishment.

- Social Equity Applicant means a business (or sole proprietor) that has applied for a license for a cannabis establishment, where the applicant is at least sixty-five per cent owned and controlled by an individual or individuals who:
    1. Had an average household income of less than three hundred per cent of the state median household income over the three tax years immediately preceding such individual's application; and
    2. One of the following
        - Was a resident of a disproportionately impacted area for not less than five of the ten years immediately preceding the date of such application; or
        - Was a resident of a disproportionately impacted area for not less than nine years prior to attaining the age of eighteen;

Application Process

The Cannabis Establishment Application Process is a multi-step process.

1. Provisional Application: This is the entry into the application process and the step you are currently completing.
    - After a successful initial review of your application, you will be invoiced, via email, the $3,000,000 provisional application fee. This fee must be paid within 5 days via wire transfer.
2. Final License Application: Provisional license applicants who have satisfied the requirements will be allowed to submit a final application for a license. Instructions for this application will be provided when you qualify.

NOTE ON THE INTIAL APPLICATION PROCESS: During the initial application you will be required to list all backers (owners) of the applicant. After your application is submitted, accounts will be created for each individual and you will be emailed instructions on how they should apply as backers. Your initial application will not be complete until each individual has submitted their application.

Cannabis Establishment Requirements

You will need to gather the following prior to completing this application. All documents need to be scanned, clearly photographed or otherwise on your device and available for uploading:

- A list of all backers including their home address, social security number, and email.
- List of any other Connecticut cannabis establishments you are associated with, applied for or plan to apply for.
- Business establishment documents such as, but not limited to, the articles of incorporation, articles of association, charter, by-laws, partnership agreement, agreements between any two or more members as applicable.
- A current organizational chart showing the ownership of the applicant, including but not limited to holding companies, subsidiaries, and investors. Such chart shall list each ownership percentage.
- All compensation agreements with backers, directors, owners, officers, other high-level employees or any other persons; and

Cannabis Establishment Social Equity Council Requirements

The Social Equity Council Policy Committee has created the **SOCIAL EQUITY APPLICANT OWNERSHIP & CONTROL** document. This document lists multiple items that a Social Equity Applicant is required to provide. Questions on the requirements of this section and the "SOCIAL EQUITY APPLICANT OWNERSHIP & CONTROL" document may be referred to the Social Equity Council email (**sec@ct.gov**).

The requirements identified in this document are listed below:

- Organization Chart (including affiliates)
- List of owners and ownership interest
- List of Affiliates
- Resumes (that include places of employment with corresponding dates), for all owners, officers, and key personnel of the applicant firm
- Personal Net Worth Statement for each social equity applicant, Backer and anyone owning five percent or more of the total ownership or interest rights in such cannabis establishment.
- Personal Federal tax returns for the past 3 years, for each social equity applicant, backer and anyone owning five percent or more of the total ownership or interest rights in such cannabis establishment.
- Federal tax returns (and requests for extensions) filed by social equity applicant, backer and anyone owning five per cent or more of the total ownership or interest rights in such cannabis establishment.
- Federal tax returns (and requests for extensions) filed by any affiliate companies, with related schedules, for the past 3 years.
- Documented proof of contributions used to acquire ownership interest rights in such cannabis establishment, for each owner (e.g., both sides of cancelled checks, letters of credit, financial agreements, bank statements etc.)
- Signed loan and security agreements, and bonding forms related to ownership or interest rights in such cannabis establishment.
- Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past 2 years.
- DBE/ACDBE and SBA 8(a), SDB, MBE/WBE certifications, denials, and/or decertification's, if applicable; and any appeal decisions on these actions.
- Bank authorization and signatory cards.
- Schedule of salaries (or other remuneration) paid to all officers, managers, owners, and/or directors of the company.
- List of all employees, job titles, and dates of employment (including affiliates).
- Management Agreements (if available; required for full license)
- Operating Agreements (if available; required for full license)
- Option Agreements(if available; required for full license)

IN ADDITION:

Social Equity Partnership or Equity Joint Venture

- Original and any amended Partnership or Joint Venture Agreements related to ownership or interest rights in such cannabis establishment.

Corporations or LLC

- Official Articles of Incorporation/Articles of Organization (LLC) (signed by the state official)
- Certificate of Good Standing
- Both sides of all corporate stock certificates and your firm's stock transfer ledger
- Shareholders' Agreement(s)
- Minutes of all stockholders and board of director's meetings (2 years)
- Corporate bylaws and any amendments
- Corporate bank resolution and bank signature cards
- Membership certificates (front and back) and ledgers (only required if the LLC has issued membership shares)
- Current Certificate of Good Standing (may be called Certificate of Existence or Certificate of Status) Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)

Provisional Fee Payment Disproportionally Impacted Area

The fee for this application is $3,000,000 .

After a successful initial review of your application, including the applications of all the backers, you will be sent an invoice for $3,000,000 to your email. The email will include wire transfer instructions. The fee must be paid in full via wire transfer within five days.

Cultivators in Disproportionally Impacted Areas

At this time, the Department is only accepting applications from social equity applicants for cultivator establishments located in a disproportionately impacted area.

A "Social Equity Applicant" means an applicant that has applied for a license for a cannabis establishment, where such applicant is at least sixty-five per cent owned and controlled by an individual or individuals who:

1. Had an average household income of less than three hundred per cent of the state median household income over the three tax years immediately preceding such individual's application and
2. One of the following
    - Was a resident of a disproportionately impacted area for not less than five of the ten years immediately preceding the date of such application, or
    - Was a resident of a disproportionately impacted area for not less than nine years prior to attaining the age of eighteen.

Disproportionately Impacted Area means a United States census tract in the state that has, as determined by the Social Equity Council, (A) a historical conviction rate for drug-related offenses greater than one-tenth, or (B) an unemployment rate greater than ten per cent. More details may be found **here**.

1. Do you (the applicant) qualify as a social equity applicant and will your grow space and business be located in a disproportionately impacted area?

Yes

Disproportionately Impacted Area Cultivator Confirmation

2. By selecting "Disproportionately Impacted Area Cultivator" you are confirming that you:

1. Are applying as a Social Equity Applicant,
2. Will locate your grow space and business in a disproportionately impacted area, and
3. Agree to pay the application fee of $3,000,000 upon completion of the provisional application.

Note: The provisional application will be available for sixty (60) days after your social equity applicant status has been reviewed and approved by the Social Equity Council.

DIA Cultivator

Address Update

3 Plea e update any change to your primary addre

Address 1: One Financial Plaza

Address 2: 14th Floor

City: Hartford **State:** CT **Zip Code:** 06103 **Country:** UNITED STATES

Telephone Number: (860) 597-8881

4. Please update any changes to your mailing address:

Application Contact(s)

5. In the section below you may add contact information to assist with this application. You must add at least one primary contact for this application.

First Name	Last Name	Title	Contact Type	eMail Address	Phone Number	Address
Janice	Flemming-Butler		Primary Contact for Application Submission	janiceflemming88@gmail.com	(860) 597-8881	141 Ridgefield Street Hartford, CT 06112

Cannabis Establishment Current Backer

Backer means any individual with a direct or indirect financial interest in a cannabis establishment who owns five percent or more of the cannabis establishment in aggregate with their spouse, parent or child or an individual who owns less than five percent and participates directly or indirectly in the control, management or operation of the cannabis establishment;

6. We currently have the following individuals listed as backers for your business:

First Name	Last Name	eMail	Address
ALAN	LAZOWSKI		170 SCARBOROUGH ST HARTFORD, CT 06105-1107
DAVID	SALINAS	dsalinas1980@gmail.com	25 Randy Road Milford, CT 06461
JANICE	FLEMMING-BUTLER	janiceflemming88@gmail.com	141 Ridgefield Street Hartford, CT 06112

7 I thi a complete li t of all the backer for your bu ine ?
Yes

Formation/Incorporation Information

10. Date of Formation - Incorporation
04/28/2022

11. Select, from the list below, the type of business that best describes your organization:
LIMITED LIABILITY COMPANY

12. Place of Formation/Incorporation (City/State or Country):
Connecticut

Secretary of the State Registration

13. Are you registered with the Connecticut Secretary of the State?
Yes

Secretary of the State Registration Number

14. Provide the Connecticut Secretary of the State registration Number.
2548304

Sales and Use Tax Permit Number

16. Do you have a Sales and Use Tax Permit Number provided by the State of Connecticut Department of Revenue Services?
No

Sales And Use Tax Permit Number Filing

You must possess a sales and use tax permit from the Department of Revenue Services prior to completing the final application. We encourage you to apply for the permit as soon as possible so that your final application is not delayed. Information about the sales and use tax permit application can be found **here**.

18. Provide proof of filing for a sales and use tax permit number with the Connecticut Department of Revenue Service

temp sales and use tax.pdf

Cannabis Establishment Association Information

19. List all associated Cannabis Establishments currently licensed, applied for or that you plan to apply for in the immediate future. You must include all Cannabis Establishments associated through your business entity (the applicant) or through your backers. Include any Equity Joint Ventures your business entity is participating in.

Establishment Name	License Status	License Type	License Number	Equity Joint Venture	Association Type	Backer List
Let's Grow Hartford LLC	Applied For/To be Applied For	ADULT-USE CANNABIS RETAILER		No	Applicant	

Other Business Names And Addresses

20. List all names under which the applicant has done business or has held itself out to the public as doing business. Do not limit your response to business operations in Connecticut. Include all business operations ever commenced both within and outside of the United States.

Name	From	To

21. List all addresses, other than those listed previously, that the applicant owns, has owned or from which business has been conducted during the previous five years and give the approximate time periods during which such locations were owned or utilized.

From	To	Address	Telephone Number

Business Organization and Structure

22. Upload documents such as the articles of incorporation, articles of association, charter, by-laws, partnership agreement, agreements between any two or more members of the applicant that relate in any manner to the assets, property or profit of the applicant or any other comparable documents that set forth the legal structure of the applicant or relate to the organization, management or control of the applicant to the extent applicable.

Certified Cert. of Organization - Let's Grow Hartford.pdf
Cert of Legal Existence Let's Grow Hartford LLC.pdf
A-side enterprises - Certificate of Organization.pdf
Paul flower co Certificate of Organization pdf
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf
Higher Purpose LLC - Certificate of Organization.pdf

23. A current organizational chart showing the ownership of the applicant, including but not limited to holding companie , ub idiarie , and inve tor Such chart hall li t each owner hip percentage

Organizational Chart Lets Grow Hartford LLC.pdf

24. Upload a copy of all compensation agreements with backers, directors, owners, officers, other high-level employees or any other persons. For purposes of this application, a compensation agreement includes any agreement that provides, or will provide, a benefit to the recipient whether in the form of salary, wages, commissions, fees, stock options, interest, bonuses or otherwise;

Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

SOCIAL EQUITY COUNCIL: SOCIAL EQUITY APPLICANT OWNERSHIP & CONTROL

The section is based on the requirements listed on the Social Equity Council's "SOCIAL EQUITY APPLICANT (SEA) OWNERSHIP & CONTROL" and "SOCIAL EQUITY APPLICANT (SEA) EQUITY JOINT VENTURE (EJV) OWNERSHIP & CONTROL" documents found on the "**News and Announcements** page."

Questions on the requirements of this section may be referred to the Social Equity Council email (**sec@ct.gov**)

SOCIAL EQUITY APPLICANT (SEA) OWNERSHIP & CONTROL (INCLUDING EQUITY JOINT VENTURE)

Per Sec. 35 of PA 21-01, the Social Equity Council shall review the ownership information and any other information necessary to confirm that an applicant qualifies as a social equity applicant for all license type applications submitted to the department and designated by the applicant as a social equity applicant. The SEC shall prescribe the documentation necessary for applicants to submit to establish that the ownership, residency, and income requirements for social equity applicants are met.

"SOCIAL EQUITY APPLICANT" is a business that has applied for a license for a cannabis establishment where the applying business is at least 65% owned and controlled by an individual (or individuals) who:
Had an average household income of less than 300% of the state median household income (SMHI) over the three tax years immediately preceding the application (SMHI in 2021 is approximately $74,000)

"EQUITY JOINT VENTURE" a business entity that is at least fifty per cent owned and controlled by an individual or individuals, or such applicant is an individual who meets all Social Equity Applicant criteria as prescribed by the Social Equity Council.

- *Had an average household income of less than 300% of the state median household income (SMHI) over the three tax years immediately preceding the application, In addition*
- *Applicant/s must have been residents of a disproportionately impacted area for at least (1) five of the 10 years immediately before applying for the license or (2) nine years before they turned age 18.*

THE FOLLOWING DOCUMENTATION IS REQUIRED TO ESTABLISH OWNERSHIP AND CONTROL OF AN EQUITY JOINT VENTURE:

Please attach copies of all the following REQUIRED documents. A failure to supply any information, without justification, may result in denial of social equity certification. If any of the documents listed below are not applicable to your business structure, upload a sheet of paper with the document name and type ? Not Applicable.?

25. Organization Chart (including affiliates)
Organizational Chart Lets Grow Hartford LLC.pdf

26 Li t of owner and owner hip intere t
26. List of owners and ownership interest.pdf

27. List of Affiliates
27. List of Affiliates.pdf

28. Resumes (including places of employment with corresponding dates), for all owners, officers, and key per onnel of the applicant firm
Alan B. Lazowski Resume.pdf
DSalinas+Resume+05012022.pdf
Janice Flemming Butler Resume 2022.pdf

29. Personal Net Worth Statement for each social equity applicant, Backer and anyone owning five percent or more of the total ownership or interest rights in such cannabis establishment.
[redacted] **- Net Worth.pdf**
2022.04.28 [redacted] **_Personal_Networth_Statement.pdf**
[redacted] **- Personal Net Worth Statement.pdf**

30. Personal Federal tax returns for the past 3 years, for each social equity applicant, backer and anyone owning five percent or more of the total ownership or interest rights in such cannabis establishment.

[redacted] - 2018 Tax Return.pdf
[redacted] - 2019 Tax Return.pdf
[redacted] - 2020 Tax Return.pdf
[redacted] - 2021 Extension.pdf
2018 [redacted] FORM 1040.PDF
2019 [redacted] FORM 1040.PDF
2020 [redacted] FORM 1040 V2 .PDF
[redacted] 2021 EXTENSION FORM 4868.PDF
2018 1040 [redacted] .pdf
2019 1040 [redacted] .pdf
2020 1040 [redacted] .pdf
2021 Extension [redacted] .pdf

31. Federal tax returns (and requests for extensions) filed by social equity applicant, backer and anyone owning five per cent or more of the total ownership or interest rights in such cannabis establishment.

[redacted] - 2018 Tax Return.pdf
[redacted] - 2019 Tax Return.pdf
[redacted] - 2020 Tax Return.pdf
[redacted] - 2021 Extension.pdf
2018 [redacted] FORM 1040.PDF
2019 [redacted] FORM 1040.PDF
2020 [redacted] FORM 1040 V2 .PDF
[redacted] 2021 EXTENSION FORM 4868.PDF
2018 1040 [redacted] .pdf
2019 1040 [redacted] .pdf
2020 1040 [redacted] .pdf
2021 Extension [redacted] .pdf

32. Federal tax returns (and requests for extensions) filed by any affiliate companies, with related schedules, for the past 3 years.

32. Federal tax returns filed by any affiliate companies.pdf

33. Documented proof of contributions used to acquire ownership interest rights in such cannabis establishment, for each owner (e.g., both sides of cancelled checks, letters of credit, financial agreements, bank statements etc.)

33 Proof of contributions.pdf
Lets Grow Hartford - [redacted] Account..pdf

34. Signed loan and security agreements, and bonding forms related to ownership or interest rights in such cannabis establishment.

34 loan and security agreements.pdf

35. Documented proof of any transfers of assets to/from your firm and/or to/from any of its owners over the past 2 years.

35. Documented proof of any transfers of assets.pdf

36. DBE/ACDBE and SBA 8(a), SDB, MBE/WBE certifications, denials, and/or decertification's, if applicable; and any appeal decisions on these actions.

36 certifications.pdf

37. Bank authorization and signatory cards.

Lets Grow Hartford - [redacted] Account..pdf

38. Schedule of salaries (or other remuneration) paid to all officers, managers, owners, and/or directors of the company.

38. Schedule of salaries.pdf

39. List of all employees, job titles, and dates of employment (including affiliates).

39. List of all employees.pdf

40. Management Agreements (if available; required for full license)
40 Management Agreements.pdf

41. Operating Agreements (if available; required for full license)
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

42. Option Agreements(if available; required for full license)
42 Option Agreements.pdf

43. Partnership or Joint Venture: Original and any amended Partnership or Joint Venture Agreements related to ownership or interest rights in such cannabis establishment.
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

44. Corporations or LLC: Official Articles of Incorporation/Articles of Organization (LLC) (signed by the state official)
Certified Cert. of Organization - Let's Grow Hartford.pdf

45. Corporations or LLC: Certificate of Good Standing
Cert of Legal Existence Let's Grow Hartford LLC.pdf

46. Corporations or LLC: Both sides of all corporate stock certificates and your firm's stock transfer ledger
46 corporate stock certificates.pdf

47. Corporations or LLC: Shareholders' Agreement(s)
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

48. Corporations or LLC: Minutes of all stockholders and board of director's meetings (2 years)
48 minutes.pdf

49. Corporations or LLC: Corporate bylaws and any amendments
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

50. Corporations or LLC: Corporate bank resolution and bank signature cards
Lets Grow Hartford - [redacted] Account..pdf

51. Corporations or LLC: Membership certificates (front and back) and ledgers (only required if the LLC has issued membership shares)
51 Membership certificates.pdf

52. Corporations or LLC: Current Certificate of Good Standing (may be called Certificate of Existence or Certificate of Status) Official Certificate of Formation and Operating Agreement with any amendments (for LLCs)
Cert of Legal Existence Let's Grow Hartford LLC.pdf
Certified Cert. of Organization - Let's Grow Hartford.pdf
Operating Agreement - Let's Grow Hartford.06 (Final Fully Executed).pdf

Cannabis Establishment Criminal Actions

53. Review the list of **disqualifying convictions**.

Under penalty of a false statement, a Class A Misdemeanor and grounds for application denial, I attest that I have reviewed the list of disqualifying offenses and attest that EITHER:

- 'Yes' the applicant (business entity) has been convicted within the last 10 years of one or more of these offenses, without an absolute pardon, OR

- 'No' the applicant (business entity) has not been convicted within the last 10 years of any of these offenses.

No

Cannabis Establishment Legal Proceedings

55. Has the applicant ever had any petition filed by or against it, or otherwise sought relief under, any provision of the Federal Bankruptcy Act or under any State insolvency law in the last ten year period?

No

56. Is the applicant a party to any legal proceedings where damages, fines or civil penalties may reasonably be expected to exceed $500,000 above any insurance coverage available to cover the claim?

No

Provisional Fee Confirmation - Disproportionally Impacted Area

A fee of $3,000,000 to be deposited in the Social Equity and Innovation Fund established in section 128 of Public Act 21-1 (June Spec. Sess.) is required.

After a successful initial review of your application, including the applications of all the backers, you will be sent an invoice for $3,000,000 to your email. The email will include wire transfer instructions. The fee must be paid in full via wire transfer within five days.

58. Do you understand that you will be invoiced $3,000,000 after the initial review of your application AND do you agree to pay this fee via wire transfer within five days?

Yes

Adult-Use Cannabis Attestation

59.

DO NOT COMPLETE THIS ATTESTATION IF THIS IS A TEST OR PRACTICE APPLICATION.

Under penalty of false statement, a class A misdemeanor, I attest that the information provided in this application is both truthful and complete to the best of my knowledge.

Yes

Cannabis Establishment - Conflict of Interest Statement

Section 51 of the Responsible and Equitable Regulation of Adult-Use Cannabis Act (RERACA) does not allow Social Equity Council (SEC) members, certain employees of the SEC and the Department of Consumer Protection (DCP)*, and the parents, spouses and children of either: (1) to have a direct or indirect management or financial interest in the cultivation, manufacture, sale, transportation, delivery or testing of cannabis in this state, or (2) receive any commission or profit from, or have any interest in purchases or sales made by persons authorized to make such purchases or sales pursuant to RERACA.

Additionally, Section 51 of RERACA prohibits members of the General Assembly and other state-wide elected public officials, members of the Social Equity Council, and those certain employees of the SEC and DCP from applying for any type of cannabis establishment license for a period of two (2) years after leaving State service.

Please be sure to review Section 51 of RERACA to ensure your application complies. To prevent application denial, you may remove any backers associated with your application that are in violation of Section 51 and restructure your cannabis establishment as appropriate.

*These employees include anyone who carries out the licensing, inspection, investigation, enforcement, regulations or policy decisions authorized by RERACA.

Retain for your records

Review